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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/849,522

05/20/2004

Joo-ho Kim

1793.1266

21171 STAAS & HALSEY LLP SUITE 700 1201 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005



CONFIRMATION NO. 5579
FORMALITIES LETTER

OC000000013266962*

Date Mailed: 07/20/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/11/2004 SZEWDIE1 00000009 10849522

01 FC:1001 02 FC:1051 03 FC:1201 04 FC:1202 FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

770.00 OP

130.00 OP

602.00 OP

900.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$1502 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$2402 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$1502
 - \$602 for 7 independent claims over 3.
 - \$900 for 50 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



21171

SUITE 700

STAAS & HALSEY LLP

WASHINGTON, DC 20005

1201 NEW YORK AVENUE, N.W.

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

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CONFIRMATION NO. 5579

FORMALITIES LETTER 1 (1980) 1 (1991) 1 (

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



S&H Form: PTO/SB/17 (10/03) Attorney Docket No. 1793.1266 RESPONSE TO NOTICE TO FILE **Application Number** 10/849,522 MISSING PARTS Filing Date May 20, 2004 First Named Joo-ho KIM, et al. Inventor Group Art Unit Title: MULTI-LAYER STRUCTURE AND METHOD OF DRAWING MICROSCOPIC STRUCTURE THEREIN, OPTICAL DISC MASTER AND METHOD OF FABRICATING THE SAME USING THE MULTI-LAYER STRUCTURE, AND OPTICAL DISC MANUFACTURED USING THE OPTICAL DISC MASTER Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed July 20, 2004 for the aboveidentified application, enclosed are the following: RETURN COPY of Notice to File Missing Parts of Nonprovisional Application Executed Combined Declaration/Power of Attorney executed by the inventor(s) for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor(s) executed by signing the attached Declaration. Formal Drawings (__Sheets; Figs _____) ☐ English-language translation of application (with Translator's Statement (pages ___)) ☐ Verified Statement Claiming Small Entity Status Enclosed is a payment of the following: The filing fee as set forth in 37 C.F.R. § 1.16(a). \$ 770.00 The additional claim(s) fee (50 claims over 20) \$ 900.00 The additional independent claim(s) fee (7 claims over 3) \$602.00 ■ Multiple dependent claims \$ Petition for Extension of Time (-month) Assignment Recordation Fee \$40.00 ☑ Surcharge as set forth in 37 C.F.R. § 1.16(e) \$130.00 **SUBTOTAL FEES:** \$2442.00 Reduction by 50% for filing by small entity (37 CFR 1.27) .00 **SUBTOTAL FEES:** \$2442.00 **TOTAL FEES DUE:** \$2442.00

Since the Notice to File Missing Parts of Nonprovisional Application set an <u>original</u> due date of _, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$420)); (3 months (\$950)); (4 months (\$1,480)); (5 months (\$2,010)):				
METHOD OF PAYMENT				
\boxtimes	Check enclosed as payment.			
	Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below.			
AUTHORIZATION				
\boxtimes	If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to:			
Deposit Account No.: 19-3935				
Deposit Account Name: STAAS & HALSEY LLP				
SUBMITTED BY: STAAS & HALSEY LLP				
Typed Name		Michael D. Stein	Reg. No.	37,240
Signature		Mula O HE	Date	August 10, 2004